

Naval Inspector General



Washington Navy Yard, DC



Conscience of the Navy...Making a Difference!



Naval Inspector General

- **Mission:** Inspect, investigate, or inquire into any and all matters of importance to the Department of the Navy.
- **Vision:** To be viewed as “the conscience of the Navy” - making a difference, adding value at ALL levels through proactive assistance, advice, and advocacy.
- **Guiding Principles:** To support the Department of the Navy in maintaining the highest level of integrity and public confidence we will:
 - Provide candid, objective, and uninhibited internal analysis and advice.
 - Emphasize integrity, ethics, efficiency, discipline and readiness -- afloat and ashore.
 - Perform with the highest standards of ethical leadership.
 - Be an advocate of Quality of Service for Sailors, Marines, civilian employees, and their families.
 - Always exercise fairness, impartiality, and timeliness in accomplishing our mission.



What We Do

- **Command Assessments (Echelon II)**
- **Area Visits**
 - <http://www.ig.navy.mil/studies/areavisits.htm>
- **Special Focus Studies**
 - <http://www.ig.navy.mil/studies/studies.htm>

Identify and advocate best practices



Hotlines

- To provide a method to receive and investigate reports of fraud, waste, mismanagement and related improprieties
- Alert to potential “Big Navy” issues
- Resolution of individual cases

NAVY HOTLINE



***Report Fraud, Waste, Mismanagement, Military
Whistleblower Complaints AND Improper Mental Health
Referrals
to one of the following:***

Installation/Command Representative

Telephone #: _____ DSN: _____ Fax: _____

E-mail: _____ Website: _____

Regional/Fleet Inspectors General (IGs)

Telephone #: _____ DSN: _____ Fax: _____

E-mail: _____ Website: _____

Naval Inspector General

Toll Free Telephone #: 1-800-522-3451 Com: (202) 433-6743 DSN: 288-6743

Fax #: (202) 433-2613

E-mail: NAVIGHotlines@navy.mil Website: <http://www.ig.navy.mil>

DoD Inspector General

Toll Free Telephone #: 1-800-424-9098 Website: <http://www.dodig.osd.mil>



Naval Inspector General

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Introduction to the Office of the Naval Inspector General



Mission Statement

10 USC § 5020

- “Shall inquire into and report upon any matter that affects the discipline or military efficiency of the Dept of the Navy. Shall make inspections, investigations and reports as the SECNAV or CNO direct”
- “Shall cooperate fully with the DoDIG in connection with any IG function or duty under the IG Act of 1978”
- “Shall propose programs of inspections to SECNAV”



Mission of the IG

In broad terms:

. . . inquire into matters impacting readiness, effectiveness, discipline, efficiency, integrity, ethics, and/or public confidence.



Command Inspections & Area Visits

- Seek out
 - What is working?
 - What is not working?
 - What are the impediments to achieving our mission?
 - How can we improve the system?
- Methods
 - Focus Groups
 - Observation
 - Review



IG Non-Investigation Divisions

- N2 Intelligence and Special Access Program Oversight
- N3 Command Inspections / Area Visits
- N4 Audit Liaison and Follow-up
- N7 Installations, Environment, and Occupational Safety & Health Division
- Legal
- Medical



Investigation Divisions Special Inquiries (N5)

N5 has exclusive purview over all investigations of DON senior officials, which are defined as:

- Active duty, retired, or reserve military officers, in, or selected for, Grades 0-7 and above
- Current or former civilian members of the Senior Executive Service (SES); and current or former DON Presidential Appointees



Investigation Divisions Hotline Investigations (N6)

- Receive complaints alleging:
 - Fraud
 - Waste
 - Mismanagement
 - Military Whistleblower Reprisal
 - Improper Referral for Mental Health Examination
- Establish and promote DoN IG policy (website & instructions)
- Operate NAVINSGEN Investigation Course₁₂



Role of the Hotline Program

- Acts as impartial fact finder for the Secretary of the Navy
 - Promotes efficiency of the command Hotline Program
 - Provides **alternative** to the chain of command
- **Assists** and **Refers** complainants to ensure their complaints are properly addressed



Policy for Conduct of an IG Investigation

Investigating Officers should:

Thoroughly and **impartially** investigate all non-frivolous, substantive allegations of **improper conduct** in a professional manner **without command influence, pressure, or fear of reprisal.**

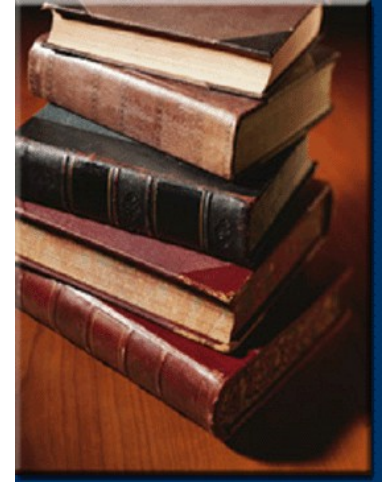


Purpose of IG Investigation

- Purpose of an IG investigation
 - Establish sufficient facts to enable responsible authority to:
 - Determine whether allegations are substantiated based on the preponderance of the evidence
 - Decide what corrective actions to take, if any



Authority to Conduct Investigations



- SECNAVINST 5430.57 Series, Missions, and Functions of the NAVINSGEN
- SECNAVINST 5430.92A, Assignment of Responsibilities to Counteract Fraud, Waste, and Related Improprieties
- SECNAVINST 5800.12A, Investigation of Allegations Against Senior Officials of DON
- SECNAVINST 5370.5B, DON Hotline Program



4 Standards for Conduct of Investigations

- Independence
- Accountability
- Completeness
- Timeliness

IACT



Independence

- Independence – critical to ensure IO is:
 - Free from bias
 - Free from command influence
 - Senior to subject (exception: positional authority, i. e., IG)
 - Neutral / Objective
 - Professional



Timeliness

- Timeliness is essential !!!
- Investigations should be completed within 90 days
- Communicate with the tasking authority if you need more time
- Submit Progress Reports w/ substantive information





Completeness

- Complete and thorough investigation and report
 - Address all tasked and emergent allegations
 - Interview the complainant, subject, key witnesses
 - Collect and include all pertinent information
 - Write allegations in the proper format
 - Discuss mitigating factors
 - Weigh all the evidence



Accountability

- Four types of action to hold subject accountable
 - ✓ Corrective action – Fix the System
 - ✓ Remedial action – Restore the Person
 - ✓ Administrative action – Rewrite instruction, train, counsel
 - ✓ Disciplinary action – Dismissal, Suspension



Introduction to the Naval Inspector General

Questions?